Pisot Numbers and Bernoulli Convolutions

Alex Rutar

University of St Andrews

April 12, 2022

Recall: α ∈ C is an *algebraic integer* if there exists a monotonic polynomial p(x) with integer coefficients s.t. p(α) = 0.

▲□▶ ▲□▶ ▲□▶ ▲□▶ ▲□ ● ● ●

Recall: α ∈ C is an algebraic integer if there exists a monotonic polynomial p(x) with integer coefficients s.t. p(α) = 0.

• That is, there are $a_0, \ldots, a_{k-1} \in \mathbb{Z}$ such that

$$a_0 + a_1\alpha + \dots + a_{k-1}\alpha^{k-1} + \alpha^n = 0.$$

Recall: α ∈ C is an algebraic integer if there exists a monotonic polynomial p(x) with integer coefficients s.t. p(α) = 0.

• That is, there are $a_0, \ldots, a_{k-1} \in \mathbb{Z}$ such that

$$a_0 + a_1\alpha + \dots + a_{k-1}\alpha^{k-1} + \alpha^n = 0.$$

Fact: we can take p(x) minimal, i.e. of smallest degree. Such p(x) is unique.

• The (Galois) conjugates of α are the other k - 1 zeros of p(x).

◆□▶ ◆□▶ ◆ □▶ ◆ □ ● ● ● ●

• The (Galois) conjugates of α are the other k - 1 zeros of p(x).

▲□▶ ▲□▶ ▲□▶ ▲□▶ ▲□ ● ● ●

 α is *Pisot* if α ∈ ℝ and the conjugates of α have modulus strictly less than 1. • The (Galois) conjugates of α are the other k - 1 zeros of p(x).

▲□▶ ▲□▶ ▲□▶ ▲□▶ ▲□ ● ● ●

 α is *Pisot* if α ∈ ℝ and the conjugates of α have modulus strictly less than 1.

• E.g.
$$\phi = (\sqrt{5} + 1)/2 \approx 1.61803399$$
.

- The (Galois) conjugates of α are the other k 1 zeros of p(x).
- α is *Pisot* if α ∈ ℝ and the conjugates of α have modulus strictly less than 1.

• E.g.
$$\phi = (\sqrt{5} + 1)/2 \approx 1.61803399.$$

Then $\phi^2 - \phi - 1 = 0$, so ϕ is an algebraic integer with minimal polynomial $x^2 - x - 1$. The other root is $(-\sqrt{5} + 1)/2 \approx -0.61803399$.

- The (Galois) conjugates of α are the other k 1 zeros of p(x).
- α is *Pisot* if α ∈ ℝ and the conjugates of α have modulus strictly less than 1.

• E.g.
$$\phi = (\sqrt{5} + 1)/2 \approx 1.61803399$$
.

Then $\phi^2 - \phi - 1 = 0$, so ϕ is an algebraic integer with minimal polynomial $x^2 - x - 1$. The other root is $(-\sqrt{5}+1)/2 \approx -0.61803399$.

So \u03c6 is a Pisot number

- The (Galois) conjugates of α are the other k 1 zeros of p(x).
- α is Pisot if α ∈ ℝ and the conjugates of α have modulus strictly less than 1.

• E.g.
$$\phi = (\sqrt{5} + 1)/2 \approx 1.61803399$$
.

► Then $\phi^2 - \phi - 1 = 0$, so ϕ is an algebraic integer with minimal polynomial $x^2 - x - 1$. The other root is $(-\sqrt{5} + 1)/2 \approx -0.61803399$.

- So φ is a Pisot number
- ► Also works for largest real root of x^k (x^{k-1} + x^{k-2} + ··· + 1) (Simple Pisot number)

Bernoulli Convolutions

Let λ ∈ (0, 1) be a parameter and consider the independent random sum

$$\sum_{n=1}^{\infty} \pm \lambda^n$$

▲□▶ ▲□▶ ▲三▶ ▲三▶ 三三 のへで

(take + with probability 1/2 and - with probability 1/2)

Bernoulli Convolutions

Let λ ∈ (0, 1) be a parameter and consider the independent random sum

$$\sum_{n=1}^{\infty} \pm \lambda^n$$

(take + with probability 1/2 and - with probability 1/2)

b Distribution has rule μ , i.e.

$$\mathbb{P}\big(\sum_{n=1}^{\infty} \pm \lambda^n \in A\big) = \mu(A).$$

▲□▶ ▲□▶ ▲三▶ ▲三▶ 三三 のへで

Self-similarity

 \blacktriangleright μ is self-similar.

$$\mu(A) = \frac{1}{2}\mu(A/\lambda+1) + \frac{1}{2}\mu(A/\lambda-1).$$

Self-similarity

 $\blacktriangleright \mu$ is self-similar.

$$\mu(A) = \frac{1}{2}\mu(A/\lambda+1) + \frac{1}{2}\mu(A/\lambda-1).$$

(ロ)、(型)、(E)、(E)、 E) のQ(()

Condition on first outcome:

Self-similarity

 \blacktriangleright μ is self-similar.

$$\mu(A) = \frac{1}{2}\mu(A/\lambda+1) + \frac{1}{2}\mu(A/\lambda-1).$$

Condition on first outcome:

$$\mathbb{P}\left(\sum_{n=1}^{\infty} \pm \lambda^{n} \in A\right)$$

= $\frac{1}{2}\mathbb{P}\left(\lambda + \sum_{n=2}^{\infty} \pm \lambda^{n} \in A\right) + \frac{1}{2}\mathbb{P}\left(-\lambda + \sum_{n=1}^{\infty} \pm \lambda^{n} \in A\right)$
= $\frac{1}{2}\mathbb{P}\left(\sum_{n=1}^{\infty} \pm \lambda^{n} \in A/\lambda - 1\right) + \frac{1}{2}\mathbb{P}\left(\sum_{n=1}^{\infty} \pm \lambda^{n} \in A/\lambda + 1\right)$

(ロ)、(型)、(E)、(E)、 E) のQ(()

• If $\lambda = 1/2$, then μ is the uniform distribution on [-1, 1] (very uniform)

(ロ)、(型)、(E)、(E)、 E) のQ(()

• If $\lambda = 1/2$, then μ is the uniform distribution on [-1, 1] (very uniform)

 If 0 < λ < 1/2, then μ is (rescaled) Hausdorff measure restricted to a λ-Cantor set ("fractal", but very uniform)

• If $\lambda = 1/2$, then μ is the uniform distribution on [-1, 1] (very uniform)

- If 0 < λ < 1/2, then μ is (rescaled) Hausdorff measure restricted to a λ-Cantor set ("fractal", but very uniform)
- What about $\lambda > 1/2$? Overlaps...

- If $\lambda = 1/2$, then μ is the uniform distribution on [-1, 1] (very uniform)
- If 0 < λ < 1/2, then μ is (rescaled) Hausdorff measure restricted to a λ-Cantor set ("fractal", but very uniform)
- What about $\lambda > 1/2$? Overlaps...
- Recall: µ is absolutely continuous (w.r.t. Lebesgue measure) if it has a probability density f:

$$\mu([a,b]) = \int_a^b f(x) dx$$

- If λ = 1/2, then µ is the uniform distribution on [−1, 1] (very uniform)
- If 0 < λ < 1/2, then μ is (rescaled) Hausdorff measure restricted to a λ-Cantor set ("fractal", but very uniform)
- What about $\lambda > 1/2$? Overlaps...
- Recall: µ is absolutely continuous (w.r.t. Lebesgue measure) if it has a probability density f:

$$\mu([a,b]) = \int_a^b f(x) dx$$

If the overlaps are "random" would expect µ to be absolutely continuous

Pisot Bernoulli Convolutions

Theorem (Erdós, 1935)

If $\lambda \in (1/2, 1)$ and $1/\lambda$ is Pisot, then μ is singular with respect to Lebesgue.

▲ロ ▶ ▲周 ▶ ▲ 国 ▶ ▲ 国 ▶ ● の Q @

Proof.

Pisot Bernoulli Convolutions

Theorem (Erdós, 1935)

If $\lambda \in (1/2, 1)$ and $1/\lambda$ is Pisot, then μ is singular with respect to Lebesgue.

Proof.

Let $\theta = 1/\lambda$. Fact: dist $(\theta^n, \mathbb{Z}) \to 0$ geometrically fast since θ is Pisot.

▲ロ ▶ ▲周 ▶ ▲ 国 ▶ ▲ 国 ▶ ● ○ ○ ○

Pisot Bernoulli Convolutions

Theorem (Erdós, 1935)

If $\lambda \in (1/2, 1)$ and $1/\lambda$ is Pisot, then μ is singular with respect to Lebesgue.

Proof.

Let $\theta = 1/\lambda$. Fact: dist $(\theta^n, \mathbb{Z}) \to 0$ geometrically fast since θ is Pisot. Why? If θ has conjugates $\theta_2, \ldots, \theta_k$, then

$$\theta^n + \sum_{j=2}^k \theta_j^n \in \mathbb{Z}$$

(symmetric function of roots). But $\max_{j=2,...,k} |\theta_j| = \rho < 1$ so $\operatorname{dist}(\theta^n, \mathbb{Z}) \leq (k-1)\rho^n$.

Proof Cont.

Now

$$\hat{\mu}(\pi\theta^{N}) = \int_{\mathbb{R}} e^{it\pi\theta^{N}} d\mu(t) = \prod_{n=1}^{\infty} \frac{1}{2} (\widehat{\delta_{\lambda^{n}} + \delta_{-\lambda^{n}}}) (\pi\theta^{N})$$
$$= \prod_{n=1}^{\infty} \cos(\lambda^{n}\pi\theta^{N}) = \prod_{n=1}^{N} \cos(\pi\theta^{n}) \cdot \hat{\mu}(\pi).$$

Proof Cont.

Now

$$\hat{\mu}(\pi\theta^{N}) = \int_{\mathbb{R}} e^{it\pi\theta^{N}} d\mu(t) = \prod_{n=1}^{\infty} \frac{1}{2} (\widehat{\delta_{\lambda^{n}} + \delta_{-\lambda^{n}}}) (\pi\theta^{N})$$
$$= \prod_{n=1}^{\infty} \cos(\lambda^{n}\pi\theta^{N}) = \prod_{n=1}^{N} \cos(\pi\theta^{n}) \cdot \hat{\mu}(\pi).$$

But $\pi\theta^n$ converges to an integer multiple of π geometrically fast, so for some $\rho \in (0, 1)$

$$|\hat{\mu}(\pi\theta^N)| \ge \prod_{n=1}^{\infty} |\cos(\rho^n)| \cdot |\hat{\mu}(\pi)| \ge \delta > 0$$

▲□▶ ▲□▶ ▲□▶ ▲□▶ ▲□ ● のへで

for all $N \ge 1$.

Proof Cont.

Now

$$\hat{\mu}(\pi\theta^{N}) = \int_{\mathbb{R}} e^{it\pi\theta^{N}} d\mu(t) = \prod_{n=1}^{\infty} \frac{1}{2} (\widehat{\delta_{\lambda^{n}} + \delta_{-\lambda^{n}}}) (\pi\theta^{N})$$

$$= \prod_{n=1}^{\infty} \cos(\lambda^{n}\pi\theta^{N}) = \prod_{n=1}^{N} \cos(\pi\theta^{n}) \cdot \hat{\mu}(\pi).$$

But $\pi\theta^n$ converges to an integer multiple of π geometrically fast, so for some $\rho \in (0, 1)$

$$|\hat{\mu}(\pi\theta^{N})| \geq \prod_{n=1}^{\infty} |\cos(\rho^{n})| \cdot |\hat{\mu}(\pi)| \geq \delta > 0$$

for all $N \ge 1$. Thus $|\hat{\mu}(\xi)| \not\rightarrow 0$ as $\xi \rightarrow \infty$, so μ is not absolutely continuous by the Riemann-Lebesgue lemma.

Theorem (Solomyak, Shmerkin)

For all $\lambda \in (1/2, 1)$ outside an exceptional set with Lebesgue measure 0 (Solomyak) or Hausdorff dimension 0 (Shmerkin), the Bernoulli convolution is absolutely continuous.

▲□▶ ▲□▶ ▲三▶ ▲三▶ 三三 のへぐ

Theorem (Solomyak, Shmerkin)

For all $\lambda \in (1/2, 1)$ outside an exceptional set with Lebesgue measure 0 (Solomyak) or Hausdorff dimension 0 (Shmerkin), the Bernoulli convolution is absolutely continuous.

Open question: what is the exceptional set? Only known counterexamples are reciprocals of Pisot numbers (countable set!)